

Summary Of Financial Risks

		Impact		
		High	Medium	Low
Likelihood	High	5	2	0
	Medium	2	6	0
	Low	0	0	0

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Corporate Priority	Objective	Risk Type	Risk Description	Risk Raised by	Date Raised	Controls in place	Initial Assessment	Mitigating Action Plan	Lead Officer	Divisional Group	Last updated	Action taken
A bright future for children and young people	Ensuring that every school is a good school	Strategic & Financial	The Dedicated Schools Grant(DSG) for 2008/11 is distributed using the "spend plus" method. The Department for Children Schools and Families (DCSF) has launched a review of the distribution from 2011-12 to enable a more transparent distribution methodology to be developed.	Director of Children's Service	2009/10	Monitor the outcomes of the DCSF review.	High Medium	Once a new model of funding has been announced the implications for our DSG funding will be known and an appropriate action plan will be put in place.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Awaiting the outcome of the DCSF review
A bright future for children and young people	Ensuring that every school is a good school	Strategic & Financial	The Education & Skills Act reform of 14-19 learning.Transfer of Learning Skills Council (LSC) functions - Sufficiency of resources. With the abolition of the LSC from April 2010 comes the transfer of responsibilities to the Local Authority.	Director of Children's Service	2009/10	Project board, project manager and risk register in place	High Medium	Develop the capacity to take on new roles and responsibilities and establish a robust relationship with all providers in the post 16 sector.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Meetings held with all providers and staff transferring from LSC identified. A report is being prepared for Cabinet in June/July 2010.
A bright future for children and young people	Ensuring that every school is a good school	Financial	Higher Education Funding Council (HEFC) change in reimbursement by HEFC. In 2008-9 HEFC issued a consultation on the changes to the reimbursement policy. Reimbursement of eligible pension costs would be assessed on an actuarial basis and salary safeguarding would no longer be eligible for reimbursement.	Director of Children's Service	2009/10		Medium High	In 2008-9 HEFC issued a consultation on the changes to the reimbursement policy. Reimbursement of eligible pension costs would be assessed on an actuarial basis and salary safeguarding would no longer be eligible for reimbursement.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Following analysis of responses the HEFC have set up a working group with LA representatives to explore options.
A bright future for children and young people	Ensuring that every school is a good school	Financial	Inner London Teachers Pay. One Barnet maintained school is located in Brent.	Director of Children's Service	2009/10		Medium Medium	Legal advice will determine action plan and council's liability if any.	Director of Children's Service	Partnership, Performance & Planning	28/01/10	Awaiting legal advice.
A bright future for children and young people	Safe at home, safe at school, safe in the community	Financial	Southwark Ruling - Growth in Section 20 entitlement. The House of Lords Judgement (2009) concerning the welfare of homeless young people between the ages of sixteen to seventeen years of age has resulted in a clarification of the law and the legal obligation. There is a legal requirement placed on Children's Social Care to undertake assessments on children referred to them who are homeless 16/17 year olds to determine if they are children in need and, if so, to be accommodated under section 20 of the Children Act	Director of Children's Service	2009/10	Work is underway to test the implications of this ruling and potential costs	High High	Work with Planning, Housing and Regeneration Service to develop a shared and cost effective response to children who are homeless and aged 16/17 in the light of the ruling	Acting Head of Social Care	Safeguarding Division	28/01/10	Potential costs identified.

Appendix B: Children's Service Financial Risks 2010/11

Corporate Priority	Objective	Risk Type	Risk Description	Risk Raised by	Date Raised	Controls in place	Initial Assessment	Mitigating Action Plan	Lead Officer	Divisional Group	Last updated	Action taken
A bright future for children and young people	Personalising services for Children and Young people in Vulnerable Groups	Strategic & Financial	Children in Need The number of children referred to the service, initial assessments undertaken and subject to a child protection plan continued to increase during 2009.	Director of Children's Service	2009/10	Robust monitoring of referrals and assessments, numbers and trends reported through performance monitor	High High	Provide capacity to respond effectively and robustly to the increase in demand. Further develop preventative services.	Acting Head of Social Care	Safeguarding Division	28/01/10	Growth funding has been allocated for an additional Children in Need team to address the increased volume; however until the anticipated impact of the new team is established a budget risk remains
A bright future for children and young people	Personalising services for Children and Young people in Vulnerable Groups	Strategic & Financial	Children in Care The increased demand for placements is a national issue as well as a local one. The number of children in care placements continues to be higher than the budgeted number.	Director of Children's Service	2009/10	Robust monitoring of number of children coming into care and leaving care	High High	Review and analysis of trends in referrals, children in care, caseloads and social work vacancies. Promotion of referral thresholds among referring partners.	Acting Head of Social Care	Safeguarding Division	28/01/10	The budget pressure in 2009/10 is forecasted to be £1,046,000 and growth of £460,000 has been allocated to support this service area in 2010/11.
A bright future for children and young people	Personalising services for Children and Young people in Vulnerable Groups	Financial	Unaccompanied Asylum Seekers (UASC) Grant. The Home Office has changed the terms and conditions of the UASC grant. From October 2009 a ceiling on the level of indirect costs will be introduced and this ceiling will be reduced progress. In previous years there has been a Special Circumstances grant which authorities can bid against and Barnet has been reliant on that funding mechanism to fully recover the costs incurred.	Director of Children's Service	2009/10		Medium Medium	The financial implications will be quantified and position closely monitored; however there is a risk that changes will result in some additional cost.	Acting Head of Social Care	Safeguarding Division	28/01/10	The financial implications will be quantified and position closely monitored.
Supporting the vulnerable	Personalising services for Children and Young people in Vulnerable Groups	Operational & Financial	Special Education Needs (SEN) Transport. In certain circumstances, the council has a statutory duty to provide transport to ensure children and young people get to school. Many local authorities are experiencing pressure due to increase in numbers and costs.	Director of Children's Service	2009/10	Budget monitoring, regular review of routes and costs.	Medium Medium	A review of the SEN transport provision is underway and the outcome will be reported in 2010.	Assistant Director Inclusion	SEN Budgets Contracts & Transport	28/01/10	Following a growth allocation in the prior year and action taken in year it is anticipated that costs in 2009-10 will be contained
A bright future for children and young people	Building Resilience, Supporting Independence	Operational & Financial	Sure Start Revenue, Sure Start Early Years Childcare Grant and Aiming High for Disabled Children Grant provide substantial revenue funding. The Sure Start funding within the 2010-11 revenue budgets is £10,331,567. There is a possibility that the level of funding could alter/diminish or the grants be transferred into the mainstream revenue support grant funding	Director of Children's Service	2009/10	Services funded by the grants are clearly identified.	Medium High	Keep under review	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Keep under review
A bright future for children and young people	Ensuring that every school is a good school	Operational & Financial	End of funding for National Strategies. The central government funding for Primary and Secondary National Strategies will end on March 31st 2011. In April 2011 schools will be funded directly for school improvement activity and will be free to purchase support from any accredited provider.	Director of Children's Service	2009/10		High High	In anticipation of the funding cessation a phased approach has been adopted to the downsizing of the team and efficiency savings are incorporated in the 2010-11 budgets. A residual revenue pressure will however remain in 2011-12.	Principal Acting Head School Improvement	School Improvement	28/01/10	The Service is currently consulting with headteachers on possible models for school improvement for post 2011.
A bright future for children and young people	Building Resilience, Supporting Independence	Operational & Financial	Sure Start Capital. The funding associated to Sure Start capital projects are time limited and must be fully expended by 31st March 2011. In the event that building projects are not completed by the funding cut-off point, there could be a requirement to pay back capital funding.	Director of Children's Service	2009/10	Project board and project manager in place.	Medium Medium	Delays in the early stages of the programme have presented a challenging timeframe for delivery. Additional resources have been directed to the programme and the profile of spend is deemed achievable.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Regular meetings taking place to monitor planned and actual spend

Appendix B: Children's Service Financial Risks 2010/11

Corporate Priority	Objective	Risk Type	Risk Description	Risk Raised by	Date Raised	Controls in place	Initial Assessment		Mitigating Action Plan	Lead Officer	Divisional Group	Last updated	Action taken
A bright future for children and young people		Financial	Brunswick Park Co-location The DCSF has awarded the council in conjunction with Barnet PCT a capital grant to create a new 'community hub' in Brunswick Park. The total project value is £15 million, comprising of PCT contribution £7.5 million, Barnet £1 mi	Director of Children's Service	2009/10	The Council will maintain accountability for the overall project and the associated grant.	Medium	Medium	A project plan is in place to ensure that the DCSF's demanding delivery timescale for the project of September 2011 is met.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	A programme board has been established to monitor the project plan.
A bright future for children and young people	Ensuring that every school is a good school	Strategic & Financial	Pupil Place Planning Demand for services and school places increase as a result of demographic changes and economic climate impacting upon parental choice. Financial pressures are evident (with temporary expansions costing around £120,000 each and a permanent expansion of an existing primary school costing around £5-£6 million)	Director of Children's Service	2009/10	Annual pupil projections, regular school organisation and place planning reviews	High	High	Detailed planning is underway to identify potential opportunities and resources to provide the school places.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	A number of temporary expansions have been put in place and a re-focused First Class schools investment strategy is in preparation.
A bright future for children and young people	Ensuring that every school is a good school	Strategic & Financial	Building Schools for the Future (BSF) presents a unique opportunity to transform secondary education. It is expected that the programme will bring circa £80 million of Private Finance Initiative (PFI) and capital grant investment to Barnet. This funding is not expected to meet the full costs of the programme, and the capital or revenue funding gap will need to be found from the authority's and school's capital and revenue resources.	Director of Children's Service	2009/10	Project Board, project team and detailed project plan	Medium	Medium	Indicative costs are being quantified and the funding strategy to address the affordability gap will be required in the Outline Business Case (OBC) which is due for submission in August 2010.	Assistant Director Partnership, Performance & Planning	Partnership, Performance & Planning	28/01/10	Initial funding strategy reported to Cabinet.